

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
or (Use several sheets if necessary)

Date Submitted to PTO: April 15, 2004

ATTY DOCKET NO.
2004_0592SERIAL NO.
NEW

10/824,345

APPLICANT
John SURTEES et al.FILING DATE
April 15, 2004GROUP
1626

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CS	AA	4,997,955	3/1991	Merger et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
CS	AJ	92 031	N/A	DD				
CS	AK	0 162 036	11/1985	EP				
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AO	Goodall et al., Tetrahedron, NL, Elsevier Science Publishers, Amsterdam, Vol. 52, No. 19, pp. 6739-6758 (1996)						
↓	AP	Xingshu Li et al., Tetrahedron: Asymmetry, Vol. 10, pp. 3863-3867 (1999)						
CS	AQ	Prous, Drugs of the Future, ES, Barcelona, Vol. 19, No. 2, pp. 111-113 (1994)						

EXAMINER

DATE CONSIDERED

INFORMATION DISCLOSURE STATEMENT

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1820

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CS	AA	4,696,942	09/1987	Gobert et al.			
	AB	4,837,224	06/1989	Gobert et al.			
	AC	4,943,639	07/1990	Gobert et al.			
	AD	4,997,955	03/1991	Merger et al.			
CS	AE	5,447,952	09/1995	Wulfert et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
CS	AF	2 225 322	05/1990	Great Britain			
	AG	0 645 139	03/1995	Europe			X
	AH	0 295 553	12/1988	Europe			X
	AI	0 165 919	12/1985	Europe			X
	AJ	WO 01/39779	06/2001	WIPO			
CS	AK	WO 02/26705	04/2002	WIPO			

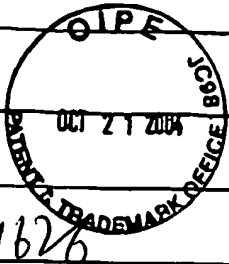

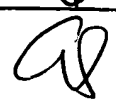
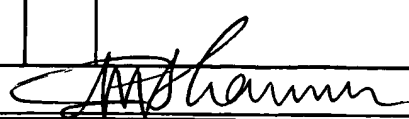
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AL	K. Goodall, et al., "A Radical Cyclisation Approach to Pyroglutamates", <u>Tetrahedron</u> , Vol. 52, No. 19, pp. 6739-6758, 1996, w/abstract
	AM	Chemical Abstract No. 128:283072, S. S. Yoon, "An enantioselective peptide-binding receptor", <u>Bull. Korean Chem. Soc.</u> , Vol. 19, No. 2, pp. 254-257, 1998
	AN	Chemical Abstract No. 125:327679, B. El Ali, "A novel Rh-PCy3-CO-HCOOH catalytic system for the regioselective conversion of alkenes to aldehydes", <u>J. Mol. Catal. A</u> , Vol. 112, No. 2, pp. 195-201, 1996
	AO	Chemical Abstract No. 125:275570, M. M. Zobacheva, "4-Aryl-2-pyrrolidones in reaction with esters of .alpha.-chlorocarboxylic acids", <u>Zh. Org. Khim.</u> , Vol. 32, No. 3, pp. 474-475, 1996
CS	AP	Chemical Abstract No. 122:71265, H. Shin, "Identification of the new metabolites of pyrovalerone by various derivatization methods in the rat urine", <u>Korean Biochem. J.</u> , Vol. 27, No. 5, pp. 357-361, 1994

EXAMINER

DATE CONSIDERED

5/24/05

Sheet 2 of 2		INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: <u>October 21, 2004</u>		ATTY DOCKET NO. 2004-0592		SERIAL NO. 10/824,345			
		APPLICANT John SURTEES et al.					
		FILING DATE April 15, 2004		GROUP 1614 <u>1626</u>			
U.S. PATENT DOCUMENTS							
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	AA						
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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AF						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AG	Chemical Abstract No. 122:132952, N. A. Orlova, "Reaction of N-silylated lactams with .alpha.-bromocarboxylic acid esters", <u>Zh. Obshch. Khim.</u> , Vol. 62, No. 10, pp. 2277-2281, 1992					
↓	AH	Chemical Abstract No. 119:269317, R. Tressel, "Formation of pyrroles, 2-pyrrolidones, and pyridones by heating of 4-aminobutyric acid and reducing sugars", <u>J. Agric. Food Chem.</u> , Vol. 41, No. 11, pp. 2125-2130, 1993					
↓	AI	Chemical Abstract No. 118:101236, B. El Ali, "Formic acid-palladium acetate-1,4-bis (diphenylphosphino)butane: an effective catalytic system for regioselective hydrocarboxylation of simple and functionalized olefins", <u>J. Mol. Catal.</u> , Vol. 77, No. 1, pp. 7-13, 1992					
↓	AJ	"Levetiracetam", <u>Drugs of the Future</u> , Vol. 19, No. 2, pp. 111-113, 1994					
	AK	X. Li, et al., "An efficient synthesis of chiral homophenylalanine derivatives via enantioselective hydrogenation", <u>Tetrahedron: Asymmetry</u> , Vol. 10, pp. 3863-3867, 1999					
	AL						
EXAMINER 			DATE CONSIDERED <u>5/2/05</u>				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.